

HQ USF-I
CAMP VICTORY, IRAQ
152130CJAN10
ROUTINE [GREEN]

(U) APPENDIX 13 TO ANNEX C (ARMED CONTRACTOR OVERSIGHT) TO USF-I OPERATIONS
ORDER: 10-01

(U) THIS APPENDIX HAS BEEN REVIEWED AND APPROVED BY COL M.B. MAHONEY, USF-I
J34 PROTECTION DIRECTOR

(U) REFERENCES:

A. (U) MNF-I EXORD FOR US EMBASSY-BAGHDAD, MULTINATIONAL FORCE-IRAQ, JOINT
CAMPAIGN PLAN (25 DEC 08), 24 JAN 09

B. (U) U.S. EMBASSY-BAGHDAD, MULTINATIONAL FORCE-IRAQ, JOINT CAMPAIGN PLAN,
DATED 25 DEC 08

C. (U) NATIONAL DEFENSE AUTHORIZATION ACT (NDAA) FOR FY 2008,
PUB. L. NO. 110-181, TITLE VIII, SUBTITLE F (SECTIONS 861-864), CONTRACTS IN
IRAQ AND AFGHANISTAN

D. (U) NATIONAL DEFENSE AUTHORIZATION ACT (NDAA) FOR FY 09,
PUB. L. NO 110-417, TITLE VIII, SUBTITLE F (SECTIONS 851-854) MATTERS
RELATING TO IRAQ AND AFGHANISTAN; (SECTION 861), CONTRACTS IN IRAQ AND
AFGHANISTAN

E. (U) MEMORANDUM OF AGREEMENT BETWEEN THE DEPARTMENT OF DEFENSE AND THE
DEPARTMENT OF STATE ON USG PRIVATE SECURITY CONTRACTORS IN IRAQ, 05 DEC 07

F. (U) DEPSECDEF MEMORANDUM, SUBJECT: MANAGEMENT OF DOD CONTRACTORS AND
CONTRACTOR PERSONNEL ACCOMPANYING U.S. ARMED FORCES IN CONTINGENCY OPERATIONS
OUTSIDE THE UNITED STATES, 25 SEP 07

G. (U). DODI 3020.41, CONTRACTOR PERSONNEL AUTHORIZED TO ACCOMPANY THE U.S.
ARMED FORCES, 03 OCT 05

H. (U) STATUS OF THE COALITION PROVISIONAL AUTHORITY, MNF-IRAQ, CERTAIN
MISSIONS AND PERSONNEL IN IRAQ, 27 JUN 04

I. (U) PRIVATE SECURITY COMPANIES REGULATIONS ACCORDING TO THE MEMORANDUM 17
FOR 04 AND THE MOI INSTRUCTIONS, REPUBLIC OF IRAQ, MINISTRY OF THE INTERIOR
(DOCUMENT AVAILABLE ON THE INTERNET AT
[HTTP://IRAQIINTERIOR.COM/PSCD/PSCD_REGISTRY1.HTM](http://iraqiinterior.com/PSCD/PSCD_REGISTRY1.HTM))

1. (U) SITUATION:

1.A. (U) GENERAL.

1.A.1 (U) OPERATIONS IN IRAQ INCLUDE THE USE OF PRIVATE SECURITY COMPANIES
(PSCS) TO FULFILL A VARIETY OF IMPORTANT SECURITY FUNCTIONS FOR THE
DEPARTMENT OF DEFENSE, DEPARTMENT OF STATE, AND OTHER ENTITIES OPERATING IN
THE IRAQ JOINT OPERATIONS AREA (IJOA). THIS INCLUDES THE PROTECTION OF FIXED
FACILITIES, MOBILE CONVOYS, ASSETS, AND PERSONNEL.

1.A.2 (U) COMMAND OVERSIGHT AT ALL LEVELS REMAINS CRITICAL TO ENSURING PSCS, AS WELL AS CIVILIANS ARMED FOR PERSONAL PROTECTION ARE ADHERING TO ISSUED GUIDANCE, POLICIES, AND PROCESSES. ADDITIONALLY, IT IS VITAL THAT CLEAR LINES OF COMMAND RESPONSIBILITY EXIST FOR PROPER OVERSIGHT, MANAGEMENT, AND ACCOUNTABILITY OF THESE PERSONNEL, AND THAT CLEAR LINES OF COMMUNICATION EXIST TO INSURE INFORMATION FLOW IS TIMELY AND ACCURATE.

1.A.3 (U) THROUGH THIS PUBLICATION WE HAVE PROVIDED CLARIFICATION OF THE PROCESSES SUPPORTING THE REQUIRING ACTIVITY COMMANDER'S KEY ROLE OF MAINTAINING ACTIVE OVERSIGHT AND CONTROL OF THEIR ARMED CONTRACTORS WITH ESSENTIAL SUPPORT AND ASSISTANCE FROM THE CONTRACTING OFFICER REPRESENTATIVE (COR) AND CONTRACTING OFFICER (KO) AND JOINT CONTRACTING COMMAND IRAQ AND AFGANASTAN(JCC-I/A). THE MILITARY COMMANDER IS RESPONSIBLE FOR THE ENTIRE MISSION ASSIGNED TO HIM INCLUDING ANY CONTRACTED WORK AND THOSE CONTRACTED TO ACCOMPLISH THAT WORK.

1.A.4 (U) THIS APPENDIX CONTAINS MANDATORY GUIDANCE FOR PSCS OPERATING UNDER DOD CONTRACT, OTHER ARMED DOD CONTRACTORS AND ARMED DOD CIVILIANS.

1.A.5 (U) THIS APPENDIX INCLUDES COORDINATION AND COMMUNICATION PROCEDURES BETWEEN USF-I AND US EMBASSY BAGHDAD FOR MOVEMENT OF PSCS WITHIN THE IJOA, INCIDENT REPORTING AND MANAGEMENT, AS WELL AS INVESTIGATIVE PROCEDURES IN THE EVENT THAT A SERIOUS INCIDENT OCCURS. USF-I WILL ENSURE THE MOVEMENTS OF ALL USG PSC CONVOYS ARE PROPERLY COORDINATED WITH THE USD OR OTHER SUBORDINATE COMMANDER RESPONSIBLE FOR THE RELEVANT AOR.

2. (U) **MISSION.** NO CHANGE.

3. (U) **EXECUTION.**

3.A (U) CONCEPT OF OPERATIONS.

3.A.1.(U) THIS APPENDIX ESTABLISHES THE NEED FOR A COMMON SET OF RULES, REGULATIONS AND REQUIREMENTS THAT GOVERN PSCS OPERATING UNDER DOD CONTRACT, OTHER ARMED DOD CONTRACTORS AND ARMED DOD CIVILIANS OPERATING IN THE IJOA.

3.B. (U) **TASKS TO UNITS.**

3.B.1.(U) J34 PROTECTION (LEAD).

3.B.2.(U) LEAD AGENCY FOR USF-I SUPPORT, PARTICIPATION, AND OVERALL COORDINATION OF THE USF-I EFFORT IS THE J34 PROTECTION ARMED CONTRACTOR OVERSIGHT BRANCH (ACOB).

3.B.3.(U) DEVELOP POLICY FOR THE MANAGEMENT AND OVERSIGHT OF ARMED CONTRACTORS, ARMED DOD CIVILIANS, AND PSCS.

3.B.4.(U) PROVIDE OVERSIGHT FOR ARMED CONTRACTORS / DOD CIVILIANS AND PSCS OPERATING IN THE IJOA.

3.B.5.(U) CONDUCT COORDINATION BETWEEN USF-I, THE UNITED STATES MISSION-IRAQ, GOI, INDIVIDUAL PSCS, AND OTHER ENTITIES WITH PSCS OPERATING IN THE IJOA AS REQUIRED.

3.B.6.(U) CO-CHAIR, WITH THE RSO AND USAID, A JOINT INCIDENT REVIEW BOARD ON A QUARTERLY BASIS TO DETERMINE TRENDS, AND IDENTIFY RECOMMENDATIONS FOR IMPROVEMENTS IN PSC OPERATIONS.

3.B.7.(U) REPORT THE FINDINGS AND MINUTES OF THE JOINT INCIDENT REVIEW BOARD TO USF-I DIRECTOR J3.

3.B.8.(U) PROVIDE A SINGLE POINT OF CONTACT FOR RFIS FOR RELEASABLE INFORMATION CONCERNING ARMED CONTRACTORS, ARMED DOD CIVILIANS, AND PSCS.

3.B.9.(U) ENSURE EACH REQUIRING ACTIVITY COMMANDER REVIEWS ITS PSCS' SIRS AND EITHER ENDORSES THE REPORT, COMPLETES HIS OWN INVESTIGATION, OR REFERS THE MATTER FOR CRIMINAL INVESTIGATION. ENSURE THE REQUIRING ACTIVITY COMMANDER INITIATES CORRECTIVE OR DISCIPLINARY ACTION, AS APPROPRIATE.

3.B.9.A.(U) MAINTAIN COPIES OF THE FOLLOWING DOCUMENTS FOR ALL SERIOUS INCIDENTS FOR USE IN DEVELOPING LESSONS LEARNED AND IN PROVIDING REPORTS TO HIGHER HEADQUARTERS: (A) THE PSC'S FINAL INCIDENT REPORT, (B) THE REQUIRING ACTIVITY'S ENDORSEMENT, REPORT OF INVESTIGATION OR REFERRAL FOR CRIMINAL INVESTIGATION, AND (C) AS APPLICABLE, THE CRIMINAL INVESTIGATION REPORT.

3.B.9.B.(U) AS REQUIRED, J34 PROTECTION ARMED CONTRACTOR OVERSIGHT BRANCH (ACOB), THROUGH THE J3 DIRECTOR, MAY DIRECT AN INDEPENDENT AR 15-6 INVESTIGATION.

3.B.10.(U) DEVELOP AND MAINTAIN CLEAR AND CONSTRUCTIVE LINES OF COMMUNICATION WITH THE MOI DIRECTORATE OF REGISTRATION AND ASSESSMENT OF PRIVATE SECURITY COMPANIES (DRAPSC). NOTIFY MOI DRAPSC OF ALL SERIOUS INCIDENTS INVOLVING IRAQI CITIZENS.

3.B.11.(U) MAINTAIN CONTRACTOR OPERATIONS CELLS (CONOCs) AT APPROPRIATE LEVELS. ENSURE THE CONOC PROVIDES BATTLEFIELD COORDINATION, CONTROL OF PSC MOVEMENT THROUGHOUT THE IJOA, AND EXPEDIENT REPORTING OF INCIDENTS INVOLVING PSCS.

3.B.12.(U) REVIEW ALL DOS PSD MOVEMENTS AND PROVIDE RECOMMENDATIONS TO THE RSO TOC OR CONOC, AS APPROPRIATE, AS TO WHETHER THE MOVEMENT SHOULD BE ALTERED OR CANCELED BASED ON THE THREAT LEVELS ALONG SELECTED ROUTES OR DISPOSITION OF UNITED STATES FORCES. DIRECT CANCELLATION OR ALTERATION OF SUCH MOVEMENTS, AS NECESSARY, BASED ON THE THREAT LEVELS OR DISPOSITION OF UNITED STATES FORCES ALONG SELECTED ROUTES.

3.B.13.(U) ASSIST U.S. EMBASSY BAGHDAD AND OTHER ENTITIES WITH THEIR DISTRIBUTION OF TIMELY AND APPROPRIATE CONDOLENCE PAYMENTS AS REQUIRED.

3.B.14.(U) PREPARE AND UPDATE, AS NEEDED, A LAW OF ARMED CONFLICT (LOAC) TRAINING PACKAGE FOR USE IN REQUIRED TRAINING OF ARMED CONTRACTORS, ARMED DOD CIVILIANS AND PSCS.

3.B.15.(U) PREPARE AND UPDATE, AS NEEDED, A RULES FOR THE USE OF FORCE (RUF) TRAINING PACKAGE FOR USE IN REQUIRED TRAINING OF ARMED CONTRACTORS, ARMED DOD CIVILIANS AND PRIVATE SECURITY COMPANIES. THIS TRAINING PACKAGE SHOULD INCLUDE, AT A MINIMUM, THE CURRENT RULES FOR THE USE OF FORCE APPLICABLE TO ARMED CONTRACTORS, ARMED DOD CIVILIANS AND PSCS, AND CURRENT GRADUATED FORCE RESPONSE PROCEDURES EMPLOYED THROUGHOUT THE IJOA.

3.B.16.(U) MANAGE THE CIVILIAN ARMING PROGRAM TO TRACK ARMED PERSONNEL IN THE ITO.

3.C.(U) USDS.

3.C.1.(U) ADHERE TO AND EXECUTE POLICY FOR THE MANAGEMENT AND OVERSIGHT OF ARMED CONTRACTORS, ARMED DOD CIVILIANS, AND PSCS.

3.C.2.(U) ENSURE REQUIRING ACTIVITY COMMANDERS MAINTAIN EFFECTIVE COMMAND AND CONTROL OVER THEIR ARMED CONTRACTORS, INCLUDING PSCS.

3.C.3.(U) ENSURE REQUIRING ACTIVITY COMMANDERS APPOINT CONTRACTING OFFICER REPRESENTATIVES (CORS) WHO ARE PROPERLY TRAINED, QUALIFIED, AND ASSIGNED COR DUTIES AS A PRIMARY TASK.

3.C.4.(U) ENSURE REQUIRING ACTIVITY COMMANDERS PROVIDE COPIES OF ALL SERIOUS INCIDENT ENDORSEMENTS OR INVESTIGATION FINAL REPORTS, AS WELL AS ANY RESULTING CORRECTIVE OR DISCIPLINARY ACTIONS INITIATED BY THE COMMANDER, TO THE USF-I J34 PROTECTION ACOB AND TO THE USF-I SJA.

3.C.5.(U) PROVIDE QRF, MEDEVAC AND VEHICLE RECOVERY SUPPORT TO PSCS AS AVAILABLE. WHEN A QRF IS ACTIVATED, BPT ASSUME COMMAND OF THE SCENE UPON ARRIVAL WITH A POSITIVE RADIO OR VISUAL HANDOFF FROM THE PSD. THE IMMEDIATE PURPOSE OF THE QRF IS NORMALLY TO SUPPORT THE SAFE EXTRACTION OF THE USG PSD. BPT SECURES THE SCENE WHERE A SERIOUS INCIDENT OCCURS, TO THE EXTENT THAT THE SECURITY SITUATION PERMITS, IOT IDENTIFY INJURED, FATALITIES, PROPERTY DAMAGE AND TO PRESERVE THE ABILITY TO COLLECT EVIDENCE.

3.C.6.(U) ASSIST U.S. EMBASSY BAGHDAD INVESTIGATORS WITH REVISITING THE SCENE OF A SERIOUS INCIDENT IN ORDER FOR THEM TO CONDUCT AN INVESTIGATION AND INTERVIEW WITNESSES AS REQUIRED.

3.C.7.(U) JASG-C TO MAINTAIN A LIAISON OFFICER IN THE REGIONAL SECURITY OFFICER TOC FOR COORDINATION CONCERNING U.S. EMBASSY MOVEMENTS, QRF, MEDEVAC, AND / OR INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE (ISR) SUPPORT AND FOR CONVOY CLOSURE REPORTS.

3.C.8.(U) JASG-C TO RECEIVE ONE LNO FROM THE RSO U.S. EMBASSY TO COORDINATE, PLAN AND MONITOR ALL U.S. EMBASSY BAGHDAD PSD MOVEMENTS OUTSIDE OF CF OPERATING BASES.

3.C.9.(U) JASG-C TO LIMIT DISTRIBUTION OF CHIEF OF MISSION (COM) PERSONNEL MOVEMENTS, OR OTHER MOVEMENTS AS DESIGNATED BY U.S. EMBASSY BAGHDAD, TO ONLY U.S. PERSONNEL WITH A NEED TO KNOW, AND COORDINATE WITH RSO TO ENSURE SUCH INFORMATION IS COMPARTMENTALIZED.

3.D. (U) COORDINATING INSTRUCTIONS.

3.D.1. (U)US EMBASSY, BAGHDAD. REQUEST ADHERENCE AND IMPLEMENTATION OF POLICY FOR THE MANAGEMENT AND OVERSIGHT OF ARMED CONTRACTORS, ARMED DOD CIVILIANS AND PSCS IAW DEPARTMENT OF DEFENSE, DEPARTMENT OF STATE MEMORANDUM OF AGREEMENT, DATED 05 DEC 07.

3.D.2. (U)PRIVATE SECURITY COMPANIES AND CONTRACTORS AND SUBCONTRACTORS (WITH ARMED EMPLOYEES) SHALL ADHERE TO ALL USF-I POLICIES, PROCEDURES, AND

REQUIREMENTS, INCLUDING SHARING INFORMATION AND COORDINATING REVIEW WITH ACOB.

3.D.4. (U) PSCS SHALL COMPLY WITH IRAQ LAW AND REGULATIONS, INCLUDING FLOWING WITH TRAFFIC AND NOT DISTURBING LOCAL DRIVING PATTERNS. FOLLOW SPEED LIMITS, UNLESS MISSION AND INTELLIGENCE REQUIRE VARIATIONS. DRIVE WITH THE INTENT OF SHARING THE ROAD RATHER THAN OWNING THE ROAD.

3.D.5. (U) EACH PRIVATE SECURITY COMPANY MUST PROVIDE TO ACOB A SINGLE POINT OF CONTACT FOR ALL OF THE PARENT COMPANY'S USG CONTRACTS IN THEATER.

3.E. (U) ALL DOD CONTRACTS WITH CIVILIAN ARMING REQUIREMENTS AND PERFORMANCE IN IRAQ ARE CLEARED THROUGH JCC-I/A AND MANAGED BY JCC-I/A, OR IT'S DESIGNATED ADMINISTRATIVE CONTRACTING OFFICER (ACO). JCC-I/A ENSURES ALL SUCH CONTRACTS CONTAIN CLEAR ARMING CONTRACT CLAUSES AND ACKNOWLEDGEMENTS PURSUANT TO USF-I POLICIES.

3.E.1. (U) JCC-I/A ENSURES ALL PSC CONTRACTS REQUIRE ALL DATA, INFORMATION, TECHNICAL DATA, AND REPORTS PRODUCED DURING THE CONTRACT THAT ARE DELIVERED BY ANY ARMED CONTRACTOR ARE DELIVERED WITH AT LEAST GOVERNMENT PURPOSE RIGHTS. JCC-I/A MUST ALSO ENSURE THAT ALL SUCH DATA, INFORMATION, TECHNICAL DATA, AND REPORTS PRODUCED DURING THE CONTRACT MAY BE FREELY SHARED WITH MULTIPLE SUPPORT CONTRACTORS. THIS APPENDIX REQUIRES BOTH TECHNICAL DATA CONTROLLED BY THE DEFENSE FEDERAL ACQUISITION REGULATION SUPPLEMENT (DFARS) AS WELL AS DATA CONTROLLED BY THE FEDERAL ACQUISITION REGULATION (FAR). THEREFORE JCC-I/A MUST ENSURE ADEQUATE CONTRACTING LANGUAGE IS USED FOR BOTH CATEGORIES GIVEN THE DFARS DOES NOT ADDRESS NON-TECHNICAL DATA.

3.E.2. (U) JCC-I/A COORDINATES WITH ACOB AND RACS ENABLING EFFECTIVE MANAGEMENT AND OVERSIGHT OF PSCS AND ARMED PERSONNEL.

3.E.3. (U) JCC-I/A PROVIDES CONTRACTOR INFORMATION AND REPORTS AS REQUESTED BY ACOB OR PURSUANT TO POLICIES ESTABLISHED BY ACOB.

3.F. (U) COMPLETE AND UPDATED LIST OF REQUIREMENTS, POLICIES AND PROCEDURES CAN BE FOUND ON THE USF-I J34 PROTECTION PORTAL AT

[HTTP://J3.FORCES.INTRANET.S-IRAQ.CENTCOM.SMIL.MIL/SITES/PRO/PROTECTION/DEFAULT.ASPX](http://J3.FORCES.INTRANET.S-IRAQ.CENTCOM.SMIL.MIL/SITES/PRO/PROTECTION/DEFAULT.ASPX)

4. (U) **SERVICE SUPPORT.** NO CHANGE.

5. (U) **COMMAND AND SIGNAL:** POINT OF CONTACT FOR THIS APPENDIX IS USF-I J34 PROTECTION ARMED CONTRACTOR OVERSIGHT BRANCH, VOIP: 485-5712 SVOIP: 241-8890 OR NIPR EMAIL MNF-I-CJ3.ACOB@IRAQ.CENTCOM.MIL.

ACKNOWLEDGE:

ODIERNO
GEN

OFFICIAL:

A handwritten signature in black ink, appearing to be 'Ritter', with a long horizontal stroke extending from the bottom right.

RITTER
J33

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