Section 241 of the Countering America’s Adversaries Through Sanctions Act of 2017 (CAATSA) requires the Secretary of the Treasury, in consultation with the Director of National Intelligence and the Secretary of State, to submit to the appropriate congressional committees 180 days after enactment a detailed report on senior political figures and oligarchs in the Russian Federation (Section 241(a)(1)) and on Russian parastatal entities (Section 241(a)(2)). Pursuant to Section 241(b), the report shall be submitted in an unclassified form but may have a classified annex. This is the unclassified portion of the report.

Section 241(a)(1) – Senior Foreign Political Figures and Oligarchs in the Russian Federation

As required by Section 241(a)(1)(A) of CAATSA, the Department of the Treasury is providing in this unclassified report a list of senior foreign political figures and oligarchs in the Russian Federation, as determined by their closeness to the Russian regime and their net worth. For purposes of this unclassified portion of the report, this determination was made based on objective criteria related to individuals’ official position in the case of senior political figures, or a net worth of $1 billion or more for oligarchs.

To determine the list of senior political figures, the Department of the Treasury considered the definition in CAATSA Section 241(c)(2), which incorporates by reference the definition of “senior foreign political figure” in section 1010.605, title 31 of the Code of Federal Regulations. For purposes of this unclassified portion of the report, such names consist of: i) senior members of the Russian Presidential Administration; ii) members of the Russian Cabinet, Cabinet-rank ministers, and heads of other major executive agencies; iii) other senior political leaders, including the leadership of the State Duma and Federation Council, other members of the Russian Security Council, and senior executives at state-owned enterprises. These individuals are listed in Appendix 1 of this report.

To determine the list of oligarchs, the Department of the Treasury enumerated those individuals who, according to reliable public sources, have an estimated net worth of $1 billion or more. Those individuals who meet this criterion are listed in Appendix 2 of this report.

The Department of the Treasury is also providing in a classified annex to this report additional information required pursuant to Section 241(a)(1). The classified annex may include individuals who are not included in Appendices 1 and 2 to this unclassified report, and such persons may hold a position below those included in the unclassified report or have a net worth below $1 billion.
This report has been prepared and provided exclusively in response to Section 241 of CAATSA. It is not a sanctions list, and the inclusion of individuals or entities in this report, its appendices, or its annex does not and in no way should be interpreted to impose sanctions on those individuals or entities. Inclusion in this report also does not constitute the determination by any agency that any of those individuals or entities meet the criteria for designation under any sanctions program. Moreover, the inclusion of individuals or entities in this report, its appendices, or its classified annexes does not, in and of itself, imply, give rise to, or create any other restrictions, prohibitions, or limitations on dealings with such persons by either U.S. or foreign persons. Neither does inclusion on the unclassified list indicate that the U.S. Government has information about the individual’s involvement in malign activities. Named individuals and entities who are separately subject to sanctions pursuant to sanctions programs established in U.S. law are denoted with an asterisk (*).

Section 241(a)(2) – Russian Parastatal Entities

CAATSA Section 241(a)(2)-(5) requires a report on Russian parastatal entities, including an assessment of their role in the economy of the Russian Federation; an overview of key U.S. economic sectors’ exposure to Russian persons and entities; an analysis of the potential effects of imposing additional debt and equity restrictions on parastatal entities; and the possible impact of additional sanctions against oligarchs, senior political figures, and parastatals on the U.S. and Russian economies.

Russian parastatals have origins in the Soviet Union’s command economy. After the dissolution of the Soviet Union, the Russian government conducted large-scale privatization of these entities; in the early 2000s, it began to renationalize large companies. The Russian government has responded to economic shocks, including the financial crisis in 2008 and the imposition of sanctions in 2014, by increasing its role in the economy and ownership of parastatals. As of 2016, Russian parastatals accounted for one-third of all jobs in Russia and 70 percent of Russia’s GDP.

For purposes of this requirement, Russian parastatals are defined as companies in which state ownership is at least 25 percent and that had 2016 revenues of approximately $2 billion or more. A list of such parastatals and the required analysis specified in Section 241(a)(2)-(5) are included in the classified annex of this report.
Appendix A: List of Senior Political Figures

Presidential Administration

1. Anton Vayno  Head, Presidential Administration
2. Aleksey Gromov*  First Deputy Head, Presidential Administration
3. Sergey Kiriyenko  First Deputy Head, Presidential Administration
4. Magomedsalam Magomedov  Deputy Head, Presidential Administration
5. Vladimir Ostrovenko  Deputy Head, Presidential Administration
6. Dmitriy Peskov  Deputy Head, Presidential Administration; Presidential Press Secretary
7. Vladislav Kitayev  Chief of Presidential Protocol
8. Andrey Belousov  Aide to the President
9. Larisa Brycheva  Aide to the President
10. Vladislav Surkov*  Aide to the President
11. Igor Levitin  Aide to the President
12. Vladimir Kozhin*  Aide to the President
13. Yuryi Ushakov  Aide to the President
14. Andrey Fursenko*  Aide to the President
15. Nikolay Tsukanov  Aide to the President
16. Konstantin Chuichenko  Aide to the President
17. Yevgeniy Shkolov  Aide to the President
18. Igor Shchegolev*  Aide to the President
19. Aleksandr Bedritskiy  Adviser to the President, Special Presidential Representative on Climate Issues
20. Sergey Glazyev*  Adviser to the President
21. Sergey Grigoryev  Adviser to the President
22. German Klimenko  Adviser to the President
23. Anton Kobyakov  Adviser to the President
24. Aleksandra Levitskaya  Adviser to the President
25. Vladimir Tolstoy  Adviser to the President
26. Mikhail Fedotov  Adviser to the President, Chairman of the Presidential Council for Civil Society and Human Rights
27. Venyamin Yakovlev  Adviser to the President
28. Artur Muravyev  Presidential Envoy to the Federation Council
29. Garry Minkh  Presidential Envoy to the State Duma
30. Mikhail Krotov  Presidential Envoy to the Constitutional Court
31. Anna Kuznetsova  Presidential Commissioner for Children’s Rights
32. Boris Titov  Presidential Commissioner for Entrepreneurs’ Rights
33. Mikhail Babich  Plenipotentiary Representative to the Volga Federal District

UNCLASSIFIED
34. Aleksandr Beglov  
Plenipotentiary Representative to the Northwestern Federal District

35. Oleg Belaventsev*  
Plenipotentiary Representative to the North Caucasus Federal District

36. Aleksey Gordeyev  
Plenipotentiary Representative to the Central Federal District

37. Sergey Menyaylo*  
Plenipotentiary Representative to the Siberian Federal District

38. Yuriy Trutnev  
Deputy Prime Minister – Plenipotentiary Representative to the Far Eastern Federal District

39. Vladimir Ustinov  
Plenipotentiary Representative to the Southern Federal District

40. Igor Kholmanskikh  
Plenipotentiary Representative to the Urals Federal District

41. Aleksandr Manzhosin  
Head, Foreign Policy Directorate

42. Vladimir Chernov  
Head, Directorate for Interregional and Cultural Ties to Foreign Countries

43. Oleg Govorun  
Head, Directorate for Social and Economic Relations with the Commonwealth of Independent States, Abkhazia, and South Ossetia

44. Dmitriy Medvedev  
Prime Minister

45. Igor Shuvalov  
First Deputy Prime Minister

46. Sergey Prikhodko  
Deputy Prime Minister and Head of the Government Apparatus

47. Aleksandr Khloponin  
Deputy Prime Minister

48. Vitaliy Mutko  
Deputy Prime Minister

49. Arkadiy Dvorkovich  
Deputy Prime Minister

50. Olga Golodets  
Deputy Prime Minister

51. Dmitriy Kozak*  
Deputy Prime Minister

52. Dmitriy Rogozin*  
Deputy Prime Minister

53. Mikhail Abyzov  
Minister for Liaison with Open Government

54. Aleksandr Tkachev  
Minister of Agriculture

55. Vladimir Puchkov  
Minister of Civil Defense, Emergencies, and Natural Disasters

56. Nikolay Nikiforov  
Minister of Communications and Mass Media

57. Mikhail Men  
Minister of Construction, Housing, and Public Utilities

58. Vladimir Medinskiy  
Minister of Culture

59. Sergey Shoigu  
Minister of Defense

60. Maksim Oreshkin  
Minister of Economic Development
61. Olga Vasilyeva
62. Aleksandr Novak
63. Aleksandr Galushka
64. Anton Siluanov
65. Sergey Lavrov
66. Veronika Skvortsova
67. Denis Manturov
68. Vladimir Kolokoltsev
69. Aleksandr Konovalov
70. Maksim Topilin
71. Sergey Donskoy
72. Lev Kuznetsov
73. Pavel Kolobkov
74. Maksim Sokolov
75. Valentina Matviyenko*
76. Sergey Naryshkin*
77. Vyacheslav Volodin*
78. Sergey Ivanov*
79. Nikolay Patrushev
80. Vladimir Bulavin
81. Valeriy Gerasimov
82. Igor Korobov*
83. Rashid Nurgaliyev
84. Georgiy Poltavchenko
85. Sergey Sobyanin
86. Yuriy Chayka
87. Aleksandr Bastrykin*
88. Viktor Zolotov
89. Dmitriy Kochnev
90. Aleksandr Bortnikov
91. Andrey Artizov
92. Yuriy Chikhanchin
93. Aleksandr Linets
94. Aleksandr Kolpakov

Other Senior Political Leaders

75. Valentina Matviyenko* Chairwoman, Federation Council
76. Sergey Naryshkin* Director, Foreign Intelligence Service (SVR)
77. Vyacheslav Volodin* Chairman, State Duma
78. Sergey Ivanov* Presidential Special Representative for the Environment, Ecology, and Transport
79. Nikolay Patrushev Secretary, Security Council
80. Vladimir Bulavin Head, Federal Customs Service
81. Valeriy Gerasimov First Deputy Minister of Defense and Chief of the General Staff
82. Igor Korobov* Chief, Main Intelligence Directorate General Staff (GRU), Ministry of Defense
83. Rashid Nurgaliyev Deputy Secretary, Security Council
84. Georgiy Poltavchenko Governor of Saint Petersburg
85. Sergey Sobyanin Mayor of Moscow
86. Yuriy Chayka Prosecutor General
87. Aleksandr Bastrykin* Head, Investigative Committee
88. Viktor Zolotov Director, Federal National Guard Service
89. Dmitriy Kochnev Director, Federal Protection Service
90. Aleksandr Bortnikov Director, Federal Security Service (FSB)
91. Andrey Artizov Head, Federal Archive Agency
92. Yuriy Chikhanchin Head, Financial Monitoring Federal Service
93. Aleksandr Linets Head, Presidential Main Directorate for Special Programs
94. Aleksandr Kolpakov Head, Presidential Property Management Directorate
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<thead>
<tr>
<th>No.</th>
<th>Name</th>
<th>Role/Position</th>
</tr>
</thead>
<tbody>
<tr>
<td>95</td>
<td>Valeriy Tikhonov</td>
<td>Head, State Courier Service</td>
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<tr>
<td>96</td>
<td>Aleksey Miller</td>
<td>Chief Executive Officer, Gazprom</td>
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<tr>
<td>97</td>
<td>Igor Sechin*</td>
<td>Chief Executive Officer, Rosneft</td>
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<td>98</td>
<td>German Gref</td>
<td>Chief Executive Officer, Sberbank</td>
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<td>99</td>
<td>Oleg Belozerov</td>
<td>General Director, Russian Railways</td>
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<td>100</td>
<td>Andrey Kostin</td>
<td>Chairman-Management Board, VTB</td>
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<td>101</td>
<td>Sergey Chemezov*</td>
<td>Chief Executive Officer, Rostec</td>
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<tr>
<td>102</td>
<td>Oleg Budargin</td>
<td>Chief Executive Officer, Rosseti</td>
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<td>103</td>
<td>Boris Kovalchuk</td>
<td>Chief Executive Officer, Inter RAO</td>
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<td>104</td>
<td>Aleksey Likhachev</td>
<td>General Director, Rosatom</td>
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<tr>
<td>105</td>
<td>Nikolay Tokarev</td>
<td>Chief Executive Officer, Transneft</td>
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<td>106</td>
<td>Andrey Akimov</td>
<td>Chief Executive Officer, Gazprombank</td>
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<td>107</td>
<td>Nail Maganov</td>
<td>General Director, Tatneft</td>
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<tr>
<td>108</td>
<td>Vitaliy Saveliev</td>
<td>Chief Executive Officer, Aeroflot</td>
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<td>109</td>
<td>Andrey Shishkin</td>
<td>Chief Executive Officer, ANK Bashneft</td>
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<td>110</td>
<td>Yuriy Slyusar</td>
<td>Chief Executive Officer, United Aircraft Corporation</td>
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<tr>
<td>111</td>
<td>Nikolay Shulgino*</td>
<td>Chief Executive Officer, RusHydro</td>
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<tr>
<td>112</td>
<td>Sergey Gorkov</td>
<td>Chief Executive Officer, Vneshekonombank</td>
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<td>113</td>
<td>Sergey Ivanov (Jr)</td>
<td>Chief Executive Officer, ALROSA</td>
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<tr>
<td>114</td>
<td>Roman Dashkov</td>
<td>Chief Executive Officer, Sakhalin Energy</td>
</tr>
</tbody>
</table>
Appendix B: List of Oligarchs

1. Aleksandr Abramov
2. Roman Abramovich
3. Araz Agalarov
4. Farkhad Akhmedov
5. Vagit Alekperov
6. Igor Altushkin
7. Aleksey Ananyev
8. Dmitriy Ananyev
9. Vasily Anisimov
10. Roman Avdeyev
11. Petr Aven
12. Yelena Baturina
13. Aleksey Bogachev
14. Vladimir Bogdanov
15. Leonid Boguslavskiy
16. Andrey Bokarev
17. Oleg Boyko
18. Nikolay Buynov
19. Oleg Deripaska
20. Aleksandr Dzhaparidze
21. Leonid Fedun
22. Gleb Fetisov
23. Mikhail Fridman
24. Aleksandr Frolov
25. Filaret Galchev
26. Sergey Galitskiy
27. Valentin Gapontsev
28. Sergey Gordeyev
29. Andrey Guryev
30. Yuriy Gushchin
31. Mikhail Gutseriyev
32. Sait-Salam Gutseriyev
33. Zarakh Iliyev
34. Dmitriy Kamenshchik
35. Vyacheslav Kantor
36. Samvel Karapetyan
37. Yevgeniy Kasperskiy
38. Sergey Katsiyev
39. Suleyman Kerimov
40. Igor Kesayev
41. Danil Khachaturov
42. German Khan
43. Viktor Khaitonin
44. Aleksandr Klyachin
45. Petr Kondrashev
46. Andrey Kosogov
47. Yurii Kovalchuk*
48. Andrey Kozitsyn
49. Aleksey Kuzminchev
50. Lev Kvetnoy
51. Vladimir Lisin
52. Anatoliy Lomakin
53. Ziyavudin Magomedov
54. Igor Makarov
55. Iskander Makhmudov
56. Aleksandr Mamut
57. Andrey Melnichenko
58. Leonid Mikhelson
59. Yurii Milner
60. Boris Mints
61. Andrey Molchanov
62. Aleksey Mordashov
63. Vadim Moshkovich
64. Aleksandr Nesis
65. God Nisanov
66. Aleksandr Ponomarenko
67. Sergey Popov
68. Vladimir Potanin
69. Mikhail Prokhorov
70. Dmitriy Pumpyanskiy
71. Megdet Rakhimkulov
72. Andrey Rappoport
73. Viktor Rashnikov
74. Arkadiy Rotenberg*
75. Boris Rotenberg*
76. Dmitriy Rybolovlev
77. Ayrat Shaymiyev
78. Radik Shaymiyev
79. Kirill Shamalov
80. Yurii Shefler
81. Albert Shishabutdinov
82. Mikhail Shishkinov
83. Leonid Simanovskiy
84. Andrey Skoch
85. Aleksandr Skorobogatko
86. Rustem Sulteyev
87. Aleksandr Svetakov
88. Gennadiy Timchenko*
89. Oleg Tinkov
90. Roman Trotsenko
91. Alisher Usmanov
92. Viktor Vekselberg
93. Arkadiy Volozh
94. Vadim Yakunin
95. Vladimir Yevtushenkov
96. Gavril Yushayev