



## National Capital Region

Electronic Designation and Validation of  
Federal/Emergency Response Officials (F/EROs)  
in support of  
National Preparedness

Presented to  
Missouri Responder Credentialing Strategy Meeting  
June 18, 2009



**FEMA**



# Topics for Discussion

- **Policy:** Public Law 110-53 and supporting requirements
- **Process:** Credential issuance, attribute registration, validation
- **People:** Federal/Emergency Response Official (F/ERO) registrations
- **Products & services:** FIPS 201 infrastructures and tool sets
- **Practice:** NCRC validated demonstrations
- **Performance measures:** Proven capability
- **Timeline:** National roll-out plan
- **End state:** Streamlined investment strategy



# Current Disaster/Emergency Access Process



**9/11 Commission and Post-Katrina Reports**



# Public Law 110-53

## “Implementing Recommendations of the 9/11 Commission Act of 2007”

- I. Date of enactment: August 3, 2007**
- (1) Title IV SEC. 405. FEDERAL RESPONSE CAPABILITY INVENTORY
  - (2) Title IV SEC. 406. REPORTING REQUIREMENTS
  - (3) Title IV SEC. 407. FEDERAL PREPAREDNESS
  - (4) Title IV SEC. 408. CREDENTIALING AND TYPING
  - (5) Title IV SEC. 409. MODEL STANDARDS AND GUIDELINES FOR CRITICAL INFRASTRUCTURE WORKERS
- II. NLT August 2, 2008:** The FEMA Administrator is to develop standards for credentialing and typing of incident management personnel, emergency response providers, and other personnel (including temporary personnel) and shall:
- (1) provide the standards developed, including detailed written guidance, to
    - a) Federal agencies that have responsibilities under the National Response Plan and other personnel (e.g. National Infrastructure Protection Plan critical infrastructure/key resources, National Continuity Policy Implementation Plan essential government personnel)
    - b) State, local, and tribal governments
  - (2) provide expertise and technical assistance to aid Federal, State, local, and tribal government agencies with implementing the standard
  - (3) consult with the Secretary of Health and Human Services in developing standards for credentialing health care professionals
  - (4) establish model standards and guidelines for credentialing critical infrastructure workers that may be used by a State
- III. NLT February 2, 2009:** Each Federal agency with F/ERO responsibilities are to be credentialed and typed in accordance with the standards
- (1) F/ERO personnel are to be registered in the database system (F/ERO Repository) for real time exchange of information and rapid validation of credentialed personnel
  - (2) F/ERO repository will be populated by Federal agencies via HSPD 12 Issuance Infrastructure

Source: Public Law 110-53

<http://intelligence.senate.gov/laws/pl11053.pdf>

**FEMA Administrator Statutory Requirements**



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# HSPD-12 Requirement

- Applicable to employees of the Federal Executive Branch and associated employees contracted for more than 180 days
- "**Secure and reliable forms of identification**" for purposes of this directive means identification that:
  - is issued based on sound criteria for verifying an individual employee's identity;
  - is strongly resistant to identity fraud, tampering, counterfeiting, and terrorist exploitation;
  - can be **rapidly authenticated electronically**; and
  - is issued only by providers whose reliability has been established by an official accreditation process.
- The Standard will include **graduated criteria, from least secure to most secure**, to ensure flexibility in selecting the appropriate level of security for each application

Source: HSPD-12

[http://www.dhs.gov/xabout/laws/gc\\_1217616624097.shtm#1](http://www.dhs.gov/xabout/laws/gc_1217616624097.shtm#1)

**Mandated Very Highly Trusted Cyber-Secure Identities**



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# Personal Identity Verification Interoperability (PIV I)

## Non-Federal Issuers (NFI) Identity Authentication PKI Certificate

NFI PIV Interoperable Cards:

- must include an Identity Authentication PKI Certificate issued by a Certification Authority (CA)
- chains to the Federal Bridge Certification Authority (FBCA) at the Medium Hardware assurance level via cross-certification

This will enable Federal government relying parties to:

- verify the validity of the identity card via the Identity Authentication PKI Certificate
- verify the issuing organization (i.e., CA cross-certified with FBCA)
- be assured that the certificate (and by extension, the card) has not been revoked or invalidated since issuance

## Identity Proofing

During identity proofing, the applicant is required to:

- appear in person and provide two forms of identity source documents in original form from the list of acceptable documents included in Form I-9
- At least one of the documents must be a valid State or Federal government-issued picture identification (ID)
- This identity proofing process is commensurate with OMB Memorandum M-04-04, E-Authentication Personal Identity Verification (PIV) Interoperability For Non-Federal Issuers

Source: Personal Identity Verification Interoperability (PIV I)

[http://www.idmanagement.gov/documents/PIV\\_IO\\_NonFed\\_Issuers\\_May2009.pdf](http://www.idmanagement.gov/documents/PIV_IO_NonFed_Issuers_May2009.pdf)

**Established Highly Trusted Cyber-Secure Identities**



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# Cyberspace Policy Review

- The Federal government, following the guidance of Homeland Security Presidential Directive 12 (HSPD-12), is seeking to leverage the federal interoperable identity credentialing mechanism across the federal enterprise.
- The Federal government should ensure resources are available for full federal implementation of HSPD-12.
- The Federal government also should consider extending the availability of federal identity management systems to operators of critical infrastructure and to private-sector emergency response and repair service providers for use during national emergencies.

Source: Cyberspace Policy Review

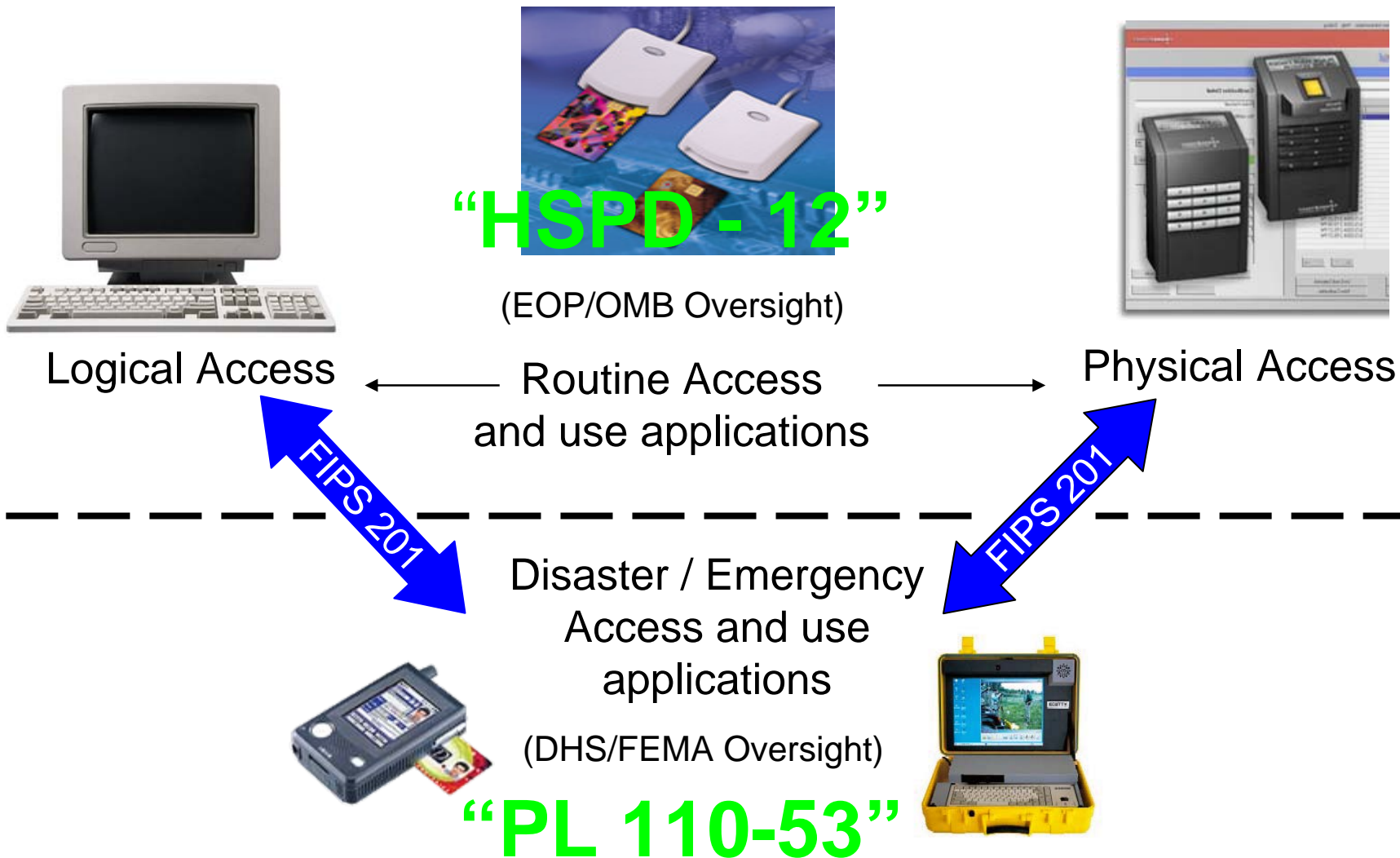
[http://www.whitehouse.gov/assets/documents/Cyberspace\\_Policy\\_Review\\_final.pdf](http://www.whitehouse.gov/assets/documents/Cyberspace_Policy_Review_final.pdf)

**Presidential Endorsement for Trusted Cyber-Secure Identities**





# FIPS 201 Interoperability



**Leveraging Mandated Technology**

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# Roles & Responsibilities

1. **Attribute:** The qualification, certification, authorization, and/or privilege of an individual
2. **F/ERO:** Federal/Emergency Response Official is an HSPD 12 Federal employee or contractor who is responsible for the execution of the NRF, NIPP, NCPIP, and/or NIMS
3. **F/ERO Attribute:** The designated categories along with the qualifications, certifications, authorizations, and/or privileges of a F/ERO who is responsible for the execution of the NRF, NIPP, NCPIP, and/or NIMS
4. **F/ERO Attribute Source Authority:** The authoritative source document for F/ERO attribute designations
5. **F/ERO Attribute Administrator:** The person(s) authorized to sponsor or revoke F/ERO attributes
6. **F/ERO Attribute Registrar:** The person designated to electronically assign or revoke F/ERO attributes within the F/ERO Attribute Repository as authorized by the F/ERO Attribute Administrator
7. **F/ERO Attribute Recipient:** The F/ERO who has been authorized by the F/ERO Attribute Administrator to be registered for the designated F/ERO attribute
8. **F/ERO Attribute Validation Authority:** The relying official who is authorized to electronically validate the designated F/ERO attributes of the bearer for access permissions

**Establishing Baseline Terminology**



# Agency Requirements

## F/ERO Repository Registration & Revocation Process

1. Agencies are to designate NRF, NIPP, and NCPIP Attribute Administrators
2. Attribute Administrators are to actively sponsor or revoke F/ERO registrations in the F/ERO Repository
3. Repository registrations or revocations are achieved in one of two ways:
  - a) Manual solution - Agency PIV post-issuance process (e.g., DoD, FEMA)
  - b) Automated solution – Agency PIV initial-issuance process (e.g., FAA, GSA)

**Establishing the Agency F/ERO Audit Trail**



# Requirements for F/ERO Designator When Issuing a Personal Identification Verification (PIV) Credential



|  |        |   |
|--|--------|---|
|  | ESF 1  | Transportation  |
|  | ESF 2  | Communications  |
|  | ESF 3  | Public Works and Engineering                                |
|  | ESF 4  | Firefighting  |
|  | ESF 5  | Emergency Management  |
|  | ESF 6  | Mass Care, Emergency Assistance, Housing and Human Services |
|  | ESF 7  | Logistics Management and Resource Support                   |
|  | ESF 8  | Public Health and Medical Services                          |
|  | ESF 9  | Search and Rescue   |
|  | ESF 10 | Oil and Hazardous Materials Response                        |
|  | ESF 11 | Agriculture and Natural Resources                           |
|  | ESF 12 | Energy  |
|  | ESF 13 | Public Safety and Security                                  |
|  | ESF 14 | Long-Term Community Recovery                                |
|  | ESF 15 | External Affairs  |

|  |           |                                       |
|--|-----------|---------------------------------------|
|  | Sector 1  | Agriculture and Food                  |
|  | Sector 2  | Banking and Finance                   |
|  | Sector 3  | Chemical                              |
|  | Sector 4  | Commercial Facilities                 |
|  | Sector 5  | Dams                                  |
|  | Sector 6  | Defense Industrial Base               |
|  | Sector 7  | Emergency Services                    |
|  | Sector 8  | Energy                                |
|  | Sector 9  | Government Facilities                 |
|  | Sector 10 | Information Technology                |
|  | Sector 11 | National Monuments and Icons          |
|  | Sector 12 | Nuclear Reactors, Materials and Waste |
|  | Sector 13 | Postal and Shipping                   |
|  | Sector 14 | Public Health and Healthcare          |
|  | Sector 15 | Communications                        |
|  | Sector 16 | Transportation Systems                |
|  | Sector 17 | Water                                 |
|  | Sector 18 | Critical Manufacturing                |

**Federal / Emergency Response Official:**

YES     NO

When checking the “yes” box during PIV issuance, the sponsoring Agency must determine and keep current what NRF, NIPP, or Continuity of Government category is being sponsored as depicted in the drop down boxes shown on the right or on the next slide.



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# F/ERO Designation (COOP/COG)

- National Continuity Policy Implementation Plan (NCPIP)  
Essential Government Function**
  
- Emergency Support Function (ESF) 5 - Emergency Management**

|                          |  |
|--------------------------|--|
| <input type="checkbox"/> | <b>Continuity Of Government (COG)</b>          |
| <input type="checkbox"/> | <b>Continuity Of Operations (COOP)</b>         |
| <input type="checkbox"/> | <b>Operation Rendezvous (OPRON) (NCR only)</b> |

- OPRON Asset**
- OPRON Tier – 1 (Non-DoD)**
- OPRON Tier – 2 (Non-DoD)**
- OPRON Tier – 3 (Non-DoD)**
- OPRON Tier – 4 (Non-DoD)**
- OPRON Tier – 5 (Non-DoD)**

Notes:  
Check all that apply  
If checking OPRON, please check one box under Tiers 1-5



# F/ERO Repository Architecture

## DoD / FEMA Validated Model

### Credential Issuance Process

HSPD-12 Identity Management Systems (IDMS) w/ Personally Identifiable Information (PII)



https secure internet connection  
IDMS / BAE Interface  
Public ID Registration Process

#### 21 Federal HSPD-12 Infrastructures:

- |   |                                     |
|---|-------------------------------------|
| 1. GSA                                  | 12. DoD                             |
| 2. SSA                                  | 13. DOT / FAA                       |
| 3. SBA                                  | 14. Federal Housing Financial Board |
| 4. DHS                                  | 15. Federal Trade Commission        |
| 5. Dept of Labor                        | 16. HHS                             |
| 6. Dept of Education                    | 17. HUD                             |
| 7. EOP                                  | 18. USAID                           |
| 8. EPA                                  | 19. Dept. of State                  |
| 9. National Credit Union Administration | 20. Peace Corps                     |
| 10. Veterans Affairs                    | 21. National Science Foundation     |
| 11. NASA                                |                                     |

### F/ERO Registration Process

Shared F/ERO Repository w/ no PII (contains public identities with numeric F/ERO attributes)



HSPD-12 PIV or FIPS 201-interoperable Credential Required



Post-issuance "Interim Manual Registration" Process

Consolidated Public ID Registration List



https secure internet connection auto feed

### Access Validation Process

Jurisdiction-owned Management Stations and Validation Devices (validates and captures public transaction data)



Handheld Devices



Management Station https secure internet connection auto feed



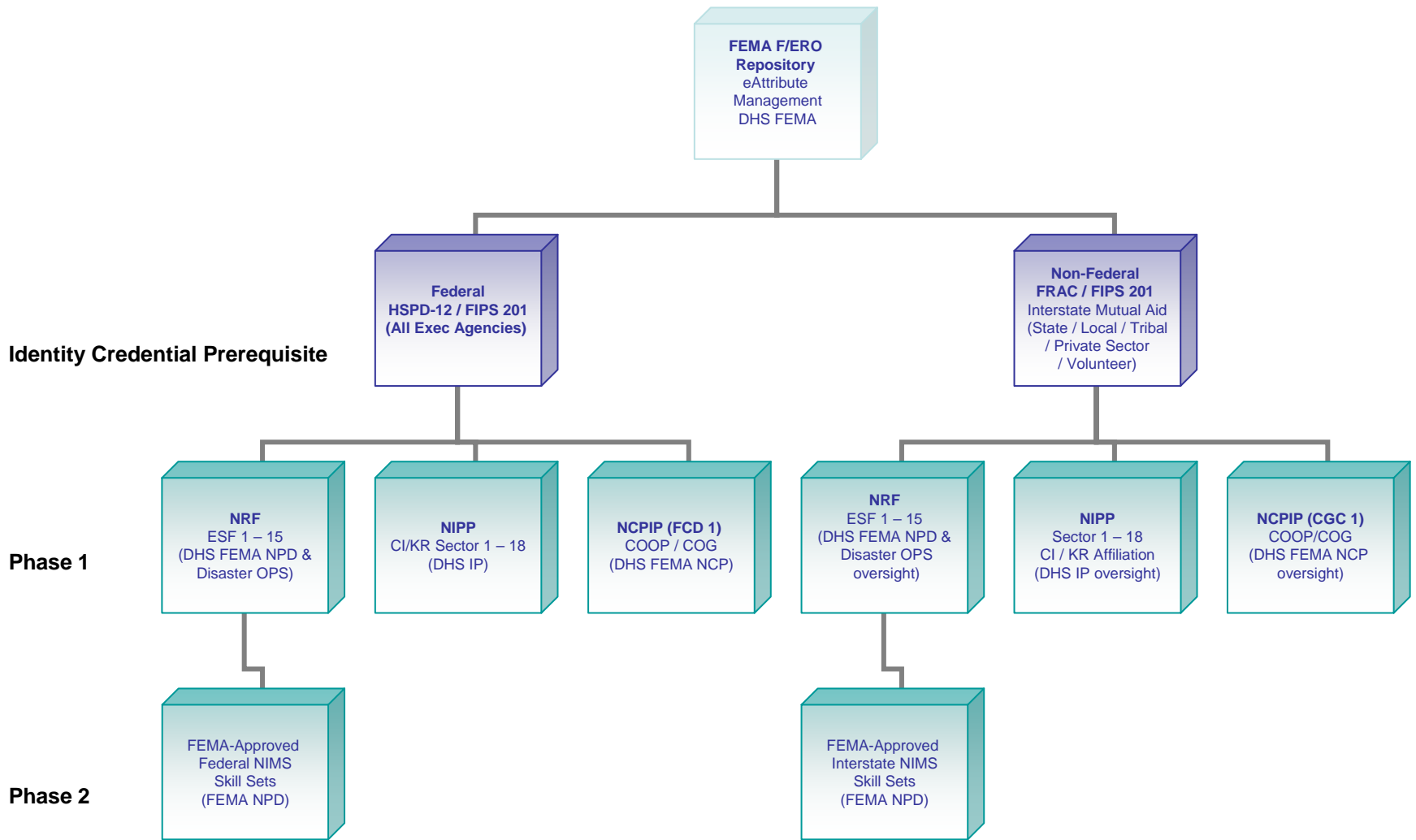
Police Cruisers



Guard Station

**Leveraging Over \$2.3B in FIPS 201 Investments**

# F/ERO eAttribute Configuration Management



**Federal and Interstate Cyber - Attributes**



# NRF F/ERO Repository Status (sample report)

| Federal National Response Framework (NRF)<br>Emergency Support Function (ESF)<br>Consolidated Attribute Repository |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  | Totals ESF |   |
|--|----------------|----------------|------------------------------|--------------|----------------------|--|---|------------------------------------|-------------------|--------------------------------------|-----------------------------------|---------|----------------------------|------------------------------|------------------|------------|---|
|  | Transportation | Communications | Public Works and Engineering | Firefighting | Emergency Management | Mass Care, Emergency Assistance, Housing, and Human Services | Logistics Management and Resource Support | Public Health and Medical Services | Search and Rescue | Oil and Hazardous Materials Response | Agriculture and Natural Resources | Energy  | Public Safety and Security | Long Term Community Recovery | External Affairs |            |   |
| (ESF) 1-15   | ESF #1         | ESF #2         | ESF #3                       | ESF #4       | ESF #5               | ESF #6   | ESF #7                                    | ESF #8                             | ESF #9            | ESF #10                              | ESF #11                           | ESF #12 | ESF #13                    | ESF #14                      | ESF #15          |            |   |
| 1 Department of Homeland Security (DHS)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 2 Department of Defense (DoD)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 3 Department of Interior (DOI)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 4 United States Department of Agriculture (USDA)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 5 Department of Commerce (DOC)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 6 Department of Energy (DOE)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 7 Department of Labor (DOL)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 8 Department of Transportation (DOT)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 9 Department of Health and Human Services (HHS)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 10 Environmental Protection Agency (EPA)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 11 General Services Administration (GSA)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 12 Department of State (DOS)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 13 Department of Justice (DOJ)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 14 Department of Veteran Affairs (VA)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 15 United States Postal Service (USPS)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 16 American Red Cross (ARC)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 17 Department of Treasury (TREAS)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 18 National Aeronautics and Space Administration (NASA)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 19 Nuclear Regulatory Commission (NRC)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 20 Department of Housing and Urban Development (HUD)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 21 Small Business Administration (SBA)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 22 Tennessee Valley Authority (TVA)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 23 Corporation for National and Community Service (CNCS)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 24 Federal Communication Commission (FCC)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 25 United States Agency for International Development (USAID)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 26 Office of Personnel Management (OPM)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 27 Social Security Administration (SSA)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 28 National Voluntary Organizations Active in Disaster (NVOAD)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 29 Education Department (ED)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 30 Advisory Council on Historic Preservation (ACHP)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 31 Delta Regional Authority (DRA)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 32 Heritage Emergency National Task Force (HENTF)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 33 National Archives and Records Administration (NARA)   |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| 34 Inspector General (IG)  |                |                |                              |              |                      |  |   |                                    |                   |                                      |                                   |         |                            |                              |                  |            | 0 |
| Totals   | 0              | 0              | 0                            | 0            | 0                    | 0  | 0   | 0                                  | 0                 | 0                                    | 0                                 | 0       | 0                          | 0                            | 0                | 0          | 0 |





# NIPP F/ERO Repository Status (sample report)

| Federal National Infrastructure Protection Plan (NIPP)<br>Consolidated Sector Attribute<br>F/ERO Repository Enrollment Status |  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       |   |
|---|--|-----------------------------|----------------------------|-----------------|------------------------------|-------------|--------------------------------|---------------------------|---------------|------------------------------|-------------------------------|-------------------------------------|---|----------------------------|-------------------------------------|-----------------------|-------------------------------|--------------|-------------------------------|-------|---|
|   |  | <i>Agriculture and Food</i> | <i>Banking and Finance</i> | <i>Chemical</i> | <i>Commercial Facilities</i> | <i>Dams</i> | <i>Defense Industrial Base</i> | <i>Emergency Services</i> | <i>Energy</i> | <i>Government Facilities</i> | <i>Information Technology</i> | <i>National Monuments and Icons</i> | <i>Nuclear Reactors, Materials, and Waste</i> | <i>Postal and Shipping</i> | <i>Public Health and Healthcare</i> | <i>Communications</i> | <i>Transportation Systems</i> | <i>Water</i> | <i>Critical Manufacturing</i> |       |   |
| (NIPP) Sectors 1-18   |  | Sector #1                   | Sector #2                  | Sector #3       | Sector #4                    | Sector #5   | Sector #6                      | Sector #7                 | Sector #8     | Sector #9                    | Sector #10                    | Sector #11                          | Sector #12                                    | Sector #13                 | Sector #14                          | Sector #15            | Sector #16                    | Sector #17   | Sector #18                    | Total |   |
| 1   | Department of Agriculture (USDA)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 2   | Department of Defense (DoD)  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 3   | Department of Energy (DOE)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 4   | Department of Health and Human Services (HHS)  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 5   | Department of Homeland Security (DHS) / Office of Cyber Security and Telecommunications (CS & C) |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 6   | DHS / Federal Protective Service   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 7   | DHS / Office of Infrastructure Protection (IP)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 8   | DHS / Immigration and Customs Enforcement (ICE)  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 9   | DHS / Transportation Security Administration (TSA)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 10  | DHS / United States Coast Guard (USCG)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 11  | Department of Interior (DOI)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 12  | Department of Transportation (DOT)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 13  | Department of Treasury (TREAS)   |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 14  | Environmental Protection Agency (EPA)  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 15  | Nuclear Regulatory Commission (NRC)  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
| 16  | Office of Management and Budget (OMB)  |                             |                            |                 |                              |             |                                |                           |               |                              |                               |                                     |   |                            |                                     |                       |                               |              |                               |       | 0 |
|   | Totals   | 0                           | 0                          | 0               | 0                            | 0           | 0                              | 0                         | 0             | 0                            | 0                             | 0                                   | 0   | 0                          | 0                                   | 0                     | 0                             | 0            | 0                             | 0     | 0 |



# NCPIP F/ERO Repository Status (sample report)

| Federal National Continuity Policy Implementation Plan (NCPIP) Consolidated F/ERO Repository Enrollment Status |  | NCPIP ESF 5 | COG | COOP | OPRON Asset | OPRON Tier 1 | OPRON Tier 2 | OPRON Tier 3 | OPRON Tier 4 | OPRON Tier 5 | Totals |
|--|--|-------------|-----|------|-------------|--------------|--------------|--------------|--------------|--------------|--------|
|  |  |             |     |      |             |              |              |              |              |              |        |
| 1  | Department of Homeland Security (DHS)                      |             |     |      |             |              |              |              |              |              | 0      |
| 2  | Department of Defense (DoD)                                |             |     |      |             |              |              |              |              |              | 0      |
| 3  | Department of Interior (DOI)                               |             |     |      |             |              |              |              |              |              | 0      |
| 4  | United States Department of Agriculture (USDA)             |             |     |      |             |              |              |              |              |              | 0      |
| 5  | Department of Commerce (DOC)                               |             |     |      |             |              |              |              |              |              | 0      |
| 6  | Department of Energy (DOE)                                 |             |     |      |             |              |              |              |              |              | 0      |
| 7  | Department of Labor (DOL)                                  |             |     |      |             |              |              |              |              |              | 0      |
| 8  | Department of Transportation (DOT)                         |             |     |      |             |              |              |              |              |              | 0      |
| 9  | Department of Health and Human Services (HHS)              |             |     |      |             |              |              |              |              |              | 0      |
| 10   | Environmental Protection Agency (EPA)                      |             |     |      |             |              |              |              |              |              | 0      |
| 11   | General Services Administration (GSA)                      |             |     |      |             |              |              |              |              |              | 0      |
| 12   | Department of State (DOS)                                  |             |     |      |             |              |              |              |              |              | 0      |
| 13   | Department of Justice (DOJ)                                |             |     |      |             |              |              |              |              |              | 0      |
| 14   | Department of Veteran Affairs (VA)                         |             |     |      |             |              |              |              |              |              | 0      |
| 15   | United States Postal Service (USPS)                        |             |     |      |             |              |              |              |              |              | 0      |
| 16   | Department of Treasury (TREAS)                             |             |     |      |             |              |              |              |              |              | 0      |
| 17   | National Aeronautics and Space Administration (NASA)       |             |     |      |             |              |              |              |              |              | 0      |
| 18   | Nuclear Regulatory Commission (NRC)                        |             |     |      |             |              |              |              |              |              | 0      |
| 19   | Department of Housing and Urban Development (HUD)          |             |     |      |             |              |              |              |              |              | 0      |
| 20   | Small Business Administration (SBA)                        |             |     |      |             |              |              |              |              |              | 0      |
| 21   | Tennessee Valley Authority (TVA)                           |             |     |      |             |              |              |              |              |              | 0      |
| 22   | Corporation for National and Community Service (CNCS)      |             |     |      |             |              |              |              |              |              | 0      |
| 23   | Federal Communication Commission (FCC)                     |             |     |      |             |              |              |              |              |              | 0      |
| 24   | United States Agency for International Development (USAID) |             |     |      |             |              |              |              |              |              | 0      |
| 25   | Office of Personnel Management (OPM)                       |             |     |      |             |              |              |              |              |              | 0      |
| 26   | Social Security Administration (SSA)                       |             |     |      |             |              |              |              |              |              | 0      |
| 27   | Education Department (ED)                                  |             |     |      |             |              |              |              |              |              | 0      |
| 28   | National Archives and Records Administration (NARA)        |             |     |      |             |              |              |              |              |              | 0      |
| 29   | National Economic Council                                  |             |     |      |             |              |              |              |              |              | 0      |
| 30   | National Security Council (NSC)                            |             |     |      |             |              |              |              |              |              | 0      |
| 31   | Office of Administration                                   |             |     |      |             |              |              |              |              |              | 0      |
| 32   | Office of Faith-Based and Community Initiatives            |             |     |      |             |              |              |              |              |              | 0      |
| 33   | Office of Global Communications                            |             |     |      |             |              |              |              |              |              | 0      |
| 34   | Office of Management and Budget (OMB)                      |             |     |      |             |              |              |              |              |              | 0      |
| <b>Totals</b>  |  | 0           | 0   | 0    | 0           | 0            | 0            | 0            | 0            | 0            | 0      |



# Chronological Electronic Validation (sample data)

| Timestamp      | Cardholder Name                   | Card Issuer                       | Summary                        | Privilege  |
|----------------|-----------------------------------|-----------------------------------|--------------------------------|--|
| 3/6/2008 9:15  | CAROLYN MCINERNEY                 | FRAC-Demo-CA                      | Success: Card Validation       | Sector 2: Banking and Finance  |
| 3/6/2008 9:17  | CAROLYN K ELLISON                 | ChoiceID Public SSP CA            | Success: Card Validation       | ESF-7 Resource Support   |
| 3/6/2008 9:26  | Glenn Blanchette                  | HHS-SSP-CA-B7                     | Success: Card Validation       |  |
| 3/6/2008 9:36  | Leonard M. Kenon 102059           | Veterans Affairs User CA B1       | Success: Card Validation       |  |
| 3/6/2008 9:39  | Jonathan Edward Geary             | GOVT-SSP-CA-B6                    | Failure: PIN Verification      | ESF-13 Public Safety and Security; Federal Agent; ESF-5 Emergency Management; ERG; OPRON Tier 2  |
| 3/6/2008 9:39  | BIAS, CYNTHIA LYNN                | WV                                | Success: Driver's license scan |  |
| 3/6/2008 9:39  | Mark Martin                       | FRAC-Demo-CA                      | Success: Card Validation       | ESF-13 Public Safety and Security  |
| 3/6/2008 9:39  | Sara Jones                        | FRAC-Demo-CA                      | Success: Card Validation       |  |
| 3/6/2008 9:39  | Jonathan Edward Geary             | GOVT-SSP-CA-B6                    | Success: Card Validation       | ESF-13 Public Safety and Security; Federal Agent; ESF-5 Emergency Management; ERG; OPRON Tier 2  |
| 3/6/2008 9:39  | April Wood                        | FRAC-Demo-CA                      | Success: Card Validation       |  |
| 3/6/2008 9:39  | Thomas Ferrantino                 | FRAC-Demo-CA                      | Success: Card Validation       | ESF-5 Emergency Management   |
| 3/6/2008 9:41  | Carmen Suarez                     | FRAC-Demo-CA                      | Success: Card Validation       | Sector 11: National Monuments & Icons  |
| 3/6/2008 9:46  | BRAMLETTE,PAMELA,SUE              | FL                                | Success: Driver's license scan |  |
| 3/6/2008 9:53  | JESSE REYNOLDS                    | FRAC-Demo-CA                      | Success: Card Validation       | ESF-9 Urban Search and Rescue; ESF-5 Emergency Management; Sector 7: Emergency Services; Sector 11: National Monuments & Icons; Sector 16: Transportation  |
| 3/6/2008 9:55  | Jennifer Hannah                   | HHS-SSP-CA-B7                     | Success: Card Validation       |  |
| 3/6/2008 9:55  | Cheri Roe                         | FRAC-Demo-CA                      | Success: Card Validation       | ESF-5 Emergency Management   |
| 3/6/2008 9:55  | Donna Houle                       | FRAC-Demo-CA                      | Success: Card Validation       | Sector 11: National Monuments & Icons  |
| 3/6/2008 9:56  | HERBERT JOSEPH MCALLISTER         | Exostar FIS Certificate Authority | Success: Card Validation       | ESF-1 Transportation; ESF-13 Public Safety and Security; COOP/COG  |
| 3/6/2008 9:57  | Geoffrey Miller                   | FRAC-Demo-CA                      | Success: Card Validation       | ESF-8 Public Health and Medical Services; Sector 7: Emergency Services; Sector 14: Public Health & Healthcare  |
| 3/6/2008 9:57  | Craig Wilson                      | FRAC-Demo-CA                      | Success: Card Validation       | ESF-5 Emergency Management   |
| 3/6/2008 9:57  | Mark Martin                       | FRAC-Demo-CA                      | Success: Card Validation       | ESF-13 Public Safety and Security  |
| 3/6/2008 10:00 | Dennis Haas                       | FRAC-Demo-CA                      | Success: Card Validation       | COOP/COG; Sector 7: Emergency Services   |
| 3/6/2008 10:00 | Brandon Graham                    | FRAC-Demo-CA                      | Success: Card Validation       | ESF-10 Oil and HazMat Response; ESF-13 Public Safety and Security; ESF-4 Firefighting; COOP/COG; ESF-8 Public Health and Medical Services; Sector 14: Public Health & Healthcare; Sector 7: Emergency Services |
| 3/6/2008 10:01 | Russel Weiser                     | FRAC-Demo-CA                      | Success: Card Validation       | ESF-2 Communications; Sector 15: Telecommunications  |
| 3/6/2008 10:02 | Scott DOUGLAS Meyer               | TWIC CA 1                         | Success: Card Validation       | ESF-5 Emergency Management; ESF-1 Transportation   |
| 3/6/2008 10:05 | THOMAS GRECO                      | FRAC-Demo-CA                      | Success: Card Validation       |  |
| 3/6/2008 10:05 | WALTER DUANE STAFFORD (Affiliate) | Exostar FIS Certificate Authority | Success: Card Validation       | ESF-1 Transportation   |



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Access Control Transaction Data



# On-Scene Human Resources (sample data)

| Cardholder Name                   | Privilege                                |
|-----------------------------------|--|
| Scott DOUGLAS Meyer               | ESF-1 Transportation                     |
| WALTER DUANE STAFFORD (Affiliate) | ESF-1 Transportation                     |
| HERBERT JOSEPH MCALLISTER         | ESF-1 Transportation                     |
| Russel Weiser                     | ESF-2 Communications                     |
| Brandon Graham                    | ESF-4 Firefighting                       |
| Thomas Ferrantino                 | ESF-5 Emergency Management               |
| Jonathan Edward Geary             | ESF-5 Emergency Managemen                |
| Scott DOUGLAS Meyer               | ESF-5 Emergency Management               |
| JESSE REYNOLDS                    | ESF-5 Emergency Management               |
| Cheri Roe                         | ESF-5 Emergency Management               |
| Craig Wilson                      | ESF-5 Emergency Management               |
| Brandon Graham                    | COOP/COG                                 |
| Dennis Haas                       | COOP/COG                                 |
| HERBERT JOSEPH MCALLISTER         | COOP/COG                                 |
| CAROLYN K ELLISON                 | ESF-7 Resource Support                   |
| Brandon Graham                    | ESF-8 Public Health and Medical Services |
| Geoffrey Miller                   | ESF-8 Public Health and Medical Services |
| JESSE REYNOLDS                    | ESF-9 Urban Search and Rescue            |
| Brandon Graham                    | ESF-10 Oil and HazMat Response           |
| Jonathan Edward Geary             | ESF-13 Public Safety and Security        |
| Brandon Graham                    | ESF-13 Public Safety and Security        |
| Mark Martin                       | ESF-13 Public Safety and Security        |
| HERBERT JOSEPH MCALLISTER         | ESF-13 Public Safety and Security        |
| CAROLYN MCINERNEY                 | Sector 2: Banking and Finance            |
| Brandon Graham                    | Sector 7: Emergency Services             |
| Dennis Haas                       | Sector 7: Emergency Services             |
| Geoffrey Miller                   | Sector 7: Emergency Services             |
| JESSE REYNOLDS                    | Sector 7: Emergency Services             |
| Donna Houle                       | Sector 11: National Monuments & Icons    |
| JESSE REYNOLDS                    | Sector 11: National Monuments & Icons    |
| Carmen Suarez                     | Sector 11: National Monuments & Icons    |
| Brandon Graham                    | Sector 14: Public Health & Healthcare    |
| Geoffrey Miller                   | Sector 14: Public Health & Healthcare    |
| Russel Weiser                     | Sector 15: Telecommunications            |
| JESSE REYNOLDS                    | Sector 16: Transportation                |



**Human Resource Situational Awareness**

For Official Use Only (FOUO)



# NCRC Coordinated Demonstrations

- Since 2006, FEMA NCRC coordinated over nineteen FIPS 201 demonstrations with Federal, State, Local, and CI/KR stakeholders
- Spring Ahead, conducted in May 2009, the most recent FIPS 201 demonstration which included proof-of-implementation for IL, CO, and San Antonio
- Spring Ahead also validated FIPS 201 modernization of jurisdiction owned equipment

**Implementing FIPS 201 Capability as Common Practice**



**FEMA**



# F/ERO Repository Population Timeline

1. By NLT the end of Q3 FY09, certify the FEMA Federal Emergency Response Official (F/ERO) Repository for Authority to Operate (ATO)
2. By NLT the end of Q4 FY 09, at least one infrastructure is to be connected machine-to-machine into the FEMA F/ERO Repository with at least one successful F/ERO electronic registration from each infrastructure
3. By NLT the end of Q4 FY 10, all 21 infrastructures are to be connected machine-to-machine into the FEMA F/ERO Repository with at least one successful F/ERO electronic registration from each infrastructure.
4. By NLT the end of Q1 FY10, all National Capital Region (NCR) pre-credentialed NCIIP (COOP/ COG) F/EROs are to be registered in the FEMA F/ERO Repository
5. By NLT the end of Q4, FY 10, all F/EROs nation-wide are to be registered in the FEMA F/ERO Repository

**Meeting Statutory Requirements**



**FEMA**





# End State: Federal & Mutual Aid Preparedness

## Incident Management:

To get the right people with the right attributes to the right places at the right times thus reducing response/recovery times and promoting restoration to pre-incident quality of life conditions

## Intended benefit:

Emergency response officials will possess FIPS 201 identity credentials that align with Federal standards and enable e-Authentication of identity and disaster response/recovery attribute information for determining access privileges

## Additional benefit:

FIPS 201 identity credentials issued by respective sponsoring agencies in a distributed environment can be integrated into standards-based physical and logical access systems thus eliminating proprietary solutions that can be costly to maintain/sustain or time

**Federal and Non-Federal Standardization**



# Questions?

**(1) Federal Executive Branch:**

**[FEMA-FEROSupport@dhs.gov](mailto:FEMA-FEROSupport@dhs.gov)**

**(2) All other inquiries:**

**[FEMA-FRACSupport@dhs.gov](mailto:FEMA-FRACSupport@dhs.gov)**





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