



National Instant Criminal Background Check System (NICS)

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Objectives

- NICS Overview
- Federal Firearm Prohibitions
- NICS Access
- Disposition of Firearm and Permit Checks
- Conducting a Query with all NICS Protection Orders Returned (QNP)
- Law Enforcement Enterprise Portal (LEEP)
- NICS Denied Transaction File (DTF)
- Contact Information





The Brady Handgun Violence Prevention Act of 1993 (Brady Act) is the Result of . . .

- Permanent injuries sustained by Press Secretary James Brady during the attempted assassination of former President Ronald Reagan
- The Brady's lobbied for stricter regulations in relation to the transfer of firearms
- The Brady Act was signed into law on November 30, 1993



The map displays the following color-coding for the states:

- Green:** AK, MT, ND, MN, WI, MI, OH, WV, VA, NC, SC, GA, AL, MS, LA, TX, NM, AZ, ID, WY, NE, IA, NY, ME, MA, RI, CT, NJ, DE, MD, DC.
- Red:** OR, NV, UT, CO, CA, HI, IL, TN, FL.
- Yellow:** NE, IA, NC.
- Blue:** WA, WI, MI, NY, VT, NH, ME.

Legend:

- MA
- RI
- CT
- NJ
- DE
- MD
- DC

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Types of Records Searched by the NICS



Interstate Identification Index (III)

Criminal Records

72,634,227

As of 06/30/16

National Crime Information Center (NCIC)

- Wanted Persons
- Protection Orders
- Immigration Violators
- Protective Interest File
- Foreign Fugitive
- Supervised Release
- National Sex Offender Registry
- Gang File
- Known/Suspected Terrorist (KST)
- Violent Person File

6,023,069 Records

As of 06/30/16

NICS Index

- Convictions punishable by imprisonment for a term exceeding one year
- Indictments for crimes punishable by imprisonment for a term exceeding one year
- Fugitives from justice
- Unlawful controlled substance users/addicts
- Mental health adjudications/involuntary commitments
- Illegal or unlawful aliens or aliens admitted to the United States under nonimmigrant visas
- Dishonorable discharges
- U.S. citizen renunciant
- Protection orders restraining harassing, stalking, or threatening an intimate partner or child of such intimate partner
- Convictions of misdemeanor crimes of domestic violence
- State firearm prohibitions
- State firearm permit prohibitions

15,070,488 Records

As of 07/31/16

Department of Homeland Security's U.S. Immigration and Customs Enforcement (ICE)

ICE databases are searched to obtain the current immigration status with all transactions initiated for non-U.S. citizens.





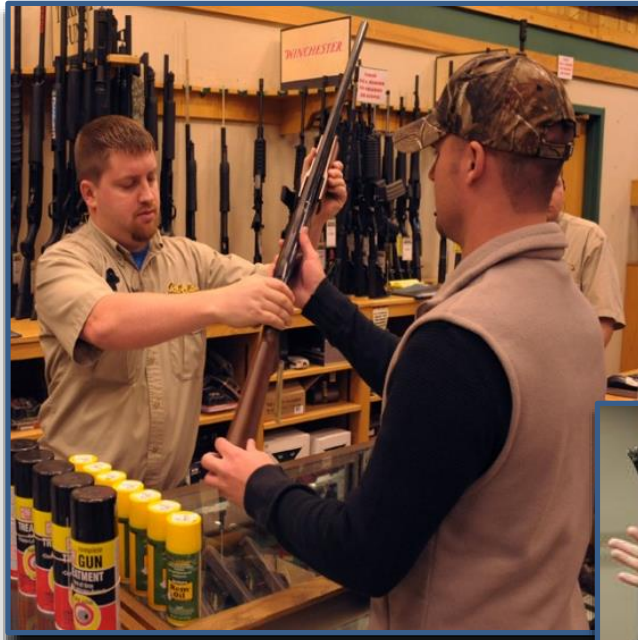
Title 18, United States Code, Section 922

- (g)(1) Convicted of a crime punishable by more than one year or a misdemeanor punishable by more than two years
- (g)(2) Fugitives from justice
- **(g)(3) Unlawful user of or addicted to any controlled substance**
- (g)(4) Adjudicated/committed to a mental institution
- (g)(5) Illegal/unlawful aliens
- (g)(6) Dishonorable discharge from military
- (g)(7) Renounced U.S. Citizenship
- (g)(8) Protection/restraining order
- **(g)(9) Misdemeanor crimes of domestic violence**
- (n) Under indictment/information for a crime punishable by more than one year or a misdemeanor punishable by more than two years





Access to the NICS





Disposition of Firearms

- Criminal justice agencies may now access the NICS to conduct a background check to dispose of a firearm in their inventory
- The check is allowed by law, not required, before the firearm is disposed/returned
- By law, NICS is not accessible to criminal justice agencies for investigative purposes





QNP Required Fields

ORIGINATING AGENCY IDENTIFIER (ORI)

PURPOSE IDENTIFICATION NUMBER (PUR ID)

FIRST AND LAST NAME (NAM)

GENDER (SEX)

DATE OF BIRTH (DOB)

RACE (RAC)

STATE OF RESIDENCE (SOR)

ORIGINATING AGENCY CASE NUMBER (OCA)*

PUR IDs:

14—Gun Permit –Issuance/Renewal
22—Disposition of Handgun
23—Disposition of Long gun
24—Disposition of Other
34—Gun Permit Revocation

*The OCA requirement will not be systematically enforced during a QNP until January 2017. The OCA may be comprised of 20 alphanumeric and special characters and will be utilized for audit purposes.





QNP Optional Fields

MIDDLE NAME

SOCIAL SECURITY NUMBER (SOC)

PLACE OF BIRTH (POB)

HEIGHT (HGT)

WEIGHT (WGT)

CITIZENSHIP (CTZ)

COUNTRY OF CITIZENSHIP (COC)

MISCELLANEOUS NUMBER (MNU)

EXCEPTION (EXC)

STATE TRANSACTION NUMBER (STN)



Submitting a QNP

Sample QNP Graphical User Interface (GUI)

QUERY NICS RECORD INCLUDING ALL PROTECTION ORDER RECORDS (QNP)

AGENCY/CASE DATA

Originating Agency Code (ORI)

State Firearm License Identifier (SFL)

Federal Firearms License Number (FFL)

Purpose Identification Number (PUR ID) ☐ State Transaction Number (STN)

PERSON DATA

Name (NAM) Sex (SEX) ☐

Race (RAC) ☐ Height (HGT) Weight (WGT)

Date of Birth (DOB) Place of Birth (POB)

State of Residence (SOR) ☐ Citizenship (CTZ)

Social Security Number (SOC) Country of Citizenship (COC)

Miscellaneous Number (MNU) Exception (EXC)

Originating Agency Case Number (OCA)

TEST INDICATOR

Test Indicator ☐

6L01XXXXXXXXXXXXXXXXXX.MKE/QNP.ORI/XXXXXXXXXX.PUR/XX.

NAM/XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX.SEX/X.RAC/X.DOB/MMDDYY.

HGT/XXX.WGT/XXX.SOC/XXXXXXXXXX.MNU/XXXXXXXXXXXXXXXXXX.POB/XX.SOR/XX.

OCA/XXXXXXXXXXXXXXXXXX.ATN/XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX.CTZ/X.COC/XX.



QNP Response—NICS Index Hit

NAM/DEBANKS, ROB SEX/M RAC/W DOB/08/21/1978
SOC/292-00-6543 SOR/OH
STATESEARCHRESPONSE--COMPLETE
NCIC - NO RECORDS FOUND
III - NO RECORDS FOUND
NICS - HITS
NTN/XXXX-XXX
NICS RESPONSE DATA:
===== NICS INDEX =====
NICS RESPONSE DATA:
RECORD FOUND BY: PRIMARY NAME
NRI: 1234567890 STATUS: ACTIVE EXPIRATION DATE: N/A
PCA: D - Adjudicated Mental Defective/Committed to a Mental Institution
NAM: DeBanks, Rob
SEX: M RAC: W HGT: N/A WGT: N/A EYE: N/A HAI: N/A
POB: OH SOR: N/A
DOB: 08/21/1978
SSN: N/A
AKA: N/A
SMT: N/A
MNU: N/A
MIS: No additional descriptive information available
ORI: OH02402X5 OCA: 41600620XXXX
DNY: N/A
DATA-SRC: WV
ARI: OHCO22122
CREATED-DATE: 05/19/2011
UPDATED-DATE: 05/19/2011
NICS-INDEX-END

NICS-END

QNP Response—NCIC hit

NAM/GRUDGE, KAREN A SEX/F RAC/W DOB/04/30/1989

SOC/123-00-4567 SOR/OH

STATESEARCHRESPONSE--COMPLETE

NCIC - HITS

III - NO RECORDS FOUND

NICS - NO RECORDS FOUND

NTN/XXXX-XXX

===== N C I C ===== (1 OF 1)

MKE/PROTECTION ORDER

NAM/GRUDGE, KAREN A

SEX/F RAC/W POB/WV DOB/19890430

HGT/506 WGT/120 EYE/BLU HAI/BRO

BRD/Y ISD/20151201 EXP/NONEXPIRING

PCO/01 - THE SUBJECT IS RESTRAINED FROM ASSAULTING, THREATENING, ABUSING,
PCO/HARASSING, FOLLOWING, INTERFERING, OR STALKING THE PROTECTED PERSON AND/OR
PCO/THE CHILD OF THE PROTECTED PERSON.

PCO/02 - THE SUBJECT MAY NOT THREATEN A MEMBER OF THE PROTECTED PERSON'S FAMILY.

PCO/04 - THE SUBJECT IS REQUIRED TO STAY AWAY FROM THE RESIDENCE, PROPERTY,
PCO/ OR PLACE OF EMPLOYMENT OF THE PROTECTED PERSON OR OTHER FAMILY .

PCO/05 - THE SUBJECT IS RESTRAINED FROM MAKING ANY COMMUNICATION WITH THE
PCO/PROTECTED PERSON INCLUDING BUT NOT LIMITED TO, PERSONAL, WRITTEN, OR
PCO/TELEPHONE.

PCO/07 - THE SUBJECT IS PROHIBITED FROM POSSESSING AND/OR PURCHASING A FIREARM
PCO/OR OTHER WEAPON.

SSN/123-00-4567

ORI/0H0680000 FBI/12345XXX3

PNO/10DVXXX938 CTI/0H0XXXXXX3J

NIC/H06XXXXX16

NICS-END

QNP Response—III hit

NAM/O'SHEA, RICK SEX/M RAC/W DOB/11/15/1968

SOC/ 111-00-3333 SOR/OH

STATESEARCHRESPONSE--COMPLETE

NCIC – NO RECORDS FOUND

III - HITS

NICS - NO RECORDS FOUND

NTN/XXXX-XXX

===== ||| =====

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR
INQUIRY ON NAM/O'SHEA RICK SEX/M RAC/W DOB/19681115 PUR/22

NAME	FBI NO.	INQUIRY DATE
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O'SHEA, RICK	123456XX7	2016/05/03
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SEX	RACE	BIRTHDATE	HEIGHT	WEIGHT	EYES	HAIR	BIRTH PLACE
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M	W	1968/11/15	510	190	BLK	BLK	CO
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SOCIAL SECURITY

111-00-3333

THE CRIMINAL HISTORY RECORD IS MAINTAINED AND AVAILABLE FROM THE
FOLLOWING:

FBI - FBI/123456XX7 - UNKNOWN

VIRGINIA - STATE ID/VA12XX567 - UNKNOWN

THE RECORD(S) CAN BE OBTAINED THROUGH THE INTERSTATE
IDENTIFICATION

INDEX BY USING THE APPROPRIATE TRANSACTION.

NICS-END



Query NICS Record (QNR) Information

Sample QNR GUI

A screenshot of a web application window titled 'QUERY NICS RECORD (QNR)'. The window has a light blue background and a standard Windows-style title bar with minimize, maximize, and close buttons. Inside the window, there is a section labeled 'AGENCY/CASE DATA' in blue text. Below this label, there are four input fields: 'Originating Agency Identifier (ORI)' and 'NICS Transaction Number (NTN)' are on the top row, and 'FBI Number (FBI)' and 'Attention' are on the bottom row. Each field is represented by a white rectangular box with a thin black border. The 'Attention' field is slightly smaller than the others.

6L01XXXXXXXXXXXXXXXXXX.MKE/QNR.ORI/XXXXXXX.
NTN/XXXXXXX.FBI/123456XX7.ATN/CHARLES JONES.





Other Resources Available:



Law Enforcement Enterprise Portal (LEEP)



LEEP

What Information is Available?

- Explanation of the Federal Prohibitions
- Online Training
- NICS Brochures





Navigating the SIG

- State Information and terminology pages
- 922(g)(9) force charts
- Restoration of Rights



- NICS Reference materials
- POC Requirements
- Appeal Information

- Federal Prohibition presentations
- Training options
- Train-the-Trainer information

Interface Control Document
NGI updates
New NICS updates



Law Enforcement Enterprise Portal (LEEP)

Individuals or agencies with LEEP or LEO access can continue to access LEEP or LEO via their existing methods. To apply for LEEP access, sign onto <www.cjis.gov> and “Apply for an account”. When an individual/agency is properly enrolled, they may access the LEEP resources through the following path:

- Log onto <www.cjis.gov>
- Under SERVICES, select LEO Special Interest Group (SIG), then click “OK” to “Message from webpage” (*at this point, you may select “NICS” under “MY SIGS” IF “Add to My SIGs” was previously accessed—see note below.)
- Select “Browse SIGs”, then “OK”
- Select “By Access Type”
- Select “Unrestricted,” then “OK”
- Select the icon labeled “NICS”
- Now you should be at NICS homepage. Please review the information listed under “STATE/FEDERAL REFERENCE MATERIAL” for terminology pages, state information pages, state prohibitions, pardon and restoration information, Interface Control, and much more.

*Note: On the NICS homepage there is a link titled “Add to My SIGs”—accessing this allows the user to create a “shortcut” to the NICS homepage.



Other Resources Available:



Denied Transaction File (DTF)





NICS DTF Response for Query NICS Denied (QND)

NOTICE: DO NOT DETAIN OR ARREST BASED ON THIS HIT. THE DATE OF THE NATIONAL INSTANT CRIMINAL BACKGROUND CHECK SYSTEM (NICS) DENIAL (DND) PROVIDED IN THIS RECORD IS THE DATE THE SUBJECT WAS DENIED BY THE NICS FROM THE RECEIPT AND/OR POSSESSION OF A FIREARM OR THE ISSUANCE OF A FIREARM OR EXPLOSIVE PERMIT PURSUANT TO THE STATE AND/OR FEDERAL LAW. HOWEVER, IT IS POSSIBLE THIS SUBJECT MAY NO LONGER BE A PROHIBITED PERSON.

This message precedes a NICS Denial Transaction File record.

*** THE INFORMATION DISPLAYED IN THIS RECORD IS A POSSIBLE MATCH TO THE INDIVIDUAL QUERIED. THIS MATCH IS BASED ON NAME AND DESCRIPTIVE DATA ONLY. NO LAW ENFORCEMENT ACTION SHOULD BE INITIATED BASED SOLELY ON THIS INFORMATION.

This message appears at the end of a NICS Denied Transaction File record.





NICS DTF Benefits

- Increase officer safety
- Increase public safety
- Investigative purposes
- Appropriate action can be taken





NICS DTF Limitations

- Rolling six months
- 2004 Notice of Proposed Rule-Making
- Full- and Partial Point-of-Contact States (POC) required to submit, not permit-issuing agencies





Contacts for the NICS Index

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